

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	50623.00117 (2951)	
	First Named Inventor	Stephen D. Pacetti, et al.	
	COMPLETE IF KNOWN		
	Application Number	Unknown	
	Filing Date	June 28, 2001	
	Group Art Unit	Unknown	
<input checked="" type="checkbox"/> Declaration Submitted With Initial Filing	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT

the specification of which (Title of the Invention)

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) [] as United States Application Number or PCT International

Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Country	Priority Not Claimed	Certified Copy Attached?	
					YES	NO
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:		<input type="checkbox"/> Customer Number or Bar Code Label		OR	<input checked="" type="checkbox"/> Correspondence address below
Squire, Sanders & Dempsey, L.L.P.					
Name					
600 Hansen Way					
Address					
Palo Alto		California		94304-1043	
City		State		ZIP	
USA		650.856.6500		650.843.8777	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Stephen D.		Family Name or Surname	
Pacetti					
Inventor's Signature		<i>Stephen Pacetti</i>		Date 6/25/2001	
San Jose		California		USA	
Residence: City		State		Country	
4578 Madoc Way					
Mailing Address					
San Jose		California		95130	
City		State		Zip	
USA					
Country					
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Plaridel K.		Family Name or Surname	
Villareal					
Inventor's Signature		<i>Plaridel Villareal</i>		Date 6-25-01	
San Jose		California		USA	
Residence: City		State		Country	
7477 Pegasus Court					
Mailing Address					
San Jose		California		95139	
City		State		Zip	
USA					
Country					
<input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					

**POWER OF ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	Unknown
Filing Date	
First Named Inventor	Stephen D. Pacetti
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	50623.00117 (2951)

I hereby appoint:

☐ Practitioners at Customer Number

OR

☒ Practitioner(s) named below:Place Customer
Number Bar Code
Label here

Name/ Registration Number

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955, Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Winingar, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. 47,947.

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

OR

Place Customer
Number Bar Code
Label here☐ Firm or
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Stephen D. Pacetti

Signature *Stephen Pacetti*Date *June 25, 2001*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

SIGNATURE of Applicant or Assignee of Record

Name Plaridel K. Villareal

Signature *Plaridel Villareal*Date *6-25-01*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of 3 forms are submitted.

Divisional Application
Docket No. 50623.331
Copy from USSN 09/896,000



50623.117
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 19, 2001

PTAS

SQUIRE, SANDERS & DEMPSEY, L.L.P.
VICTORIA L. NICHOLSON
600 HANSEN WAY
PALO ALTO, CA 94304-1043



101778747A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/28/2001

REEL/FRAME: 011971/0552
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PACETTI, STEPHEN D.

DOC DATE: 06/25/2001

ASSIGNOR:

VILLAREAL, PLARIDEL K.

DOC DATE: 06/25/2001

ASSIGNEE:

ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 09896000
PATENT NUMBER:

FILING DATE: 06/28/2001
ISSUE DATE:

DATES ENTERED

No Action

PEARLENE FOSTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

OCT 01 2001

BY TWL CALENDARED
ATTORNEY
SQUIRE, SANDERS & DEMPSEY

Divisional Application

Docket No. 50623.331

Express Mail Number: 09896000
Copy from U.S. Patent No. 5,136,049 US

Attorney Docket No.: 50623.00117 (2951)

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

RECORD

07-17-2001

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings ⇨ ⇨ ⇨

To the Honorable Commissioner of Patent

101778747

original documents or copy thereof.

1. Name of conveying party(ies):

Stephen D. Pacetti

Plaridel K. Villareal

06/29/01

2. Name and address of receiving party(ies)

Name: Advanced Cardiovascular Systems, Inc.

Internal Address: 3200 Lakeside Drive

Street Address: 3200 Lakeside Drive

City: Santa Clara State: CA Zip: 95054

Additional Name(s) & address(es) attached? ☐ Yes ☒ NoAdditional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____

Execution Date: June 25, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution data of the application is: June 28, 2001

A. Patent Application No.(s)

B. Patent No.(s)

09/896000

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Victoria L. Nicholson, Reg. No. 47,823

Internal Address: Squire, Sanders & Dempsey, L.L.P.

600 Hansen Way

Street Address: 600 Hansen Way

City: Palo Alto State: CA Zip: 94304-1043

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

05-0150

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Victoria L. Nicholson, Reg. No. 47,823

Name of Person Signing

Signature

June 28, 2001

Date

Total number of pages including cover sheet, attachments, and documents: 3

07/16/2001 6TON11 00000105 050150 09896000

01 FC:581

40.00 CH

ASSIGNMENT

(1-2) *Insert Name(s) of Inventor(s)* (1) Stephen D. Pacetti (2) Plaridel K. Villareal

For good and valuable consideration receipt of which is hereby acknowledged, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to:

(9) *Insert name of Assignee* (9) Advanced Cardiovascular Systems, Inc.

(10) *Insert state of incorporation of Assignee* (10) California

(11) *Insert address of Assignee* (11) of 3200 Lakeside Drive, Santa Clara, California 95054
(hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as

(12) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number* (12) A STENT MOUNTING DEVICE AND A METHOD OF USING THE SAME TO COAT A STENT
(Case No. 50623.00117) (Serial No. Unassigned) for which the undersigned has (have) executed an application for patent in United States of America

(13) *Insert Date of Signing of Application* (13) on June 25, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner for Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) *The undersigned hereby grant(s)*

Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Arnold de Guzman, Reg. No. 39,955; Cameron Kerrigan, Reg. No. 44,826; Patrick D. Benedicto, Reg. No. 40,909; David B. Abel, Reg. No. 32,394; Nathan Lane, Reg. No. 43,738; Lorinda Howland, Reg. No. 42,671; Michael Lechter, Reg. No. 27,350; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer P47,791; Victoria L. Nicholson, Reg. No. 47,823; and Fariba Sirjani, Reg. No. P47,947.

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

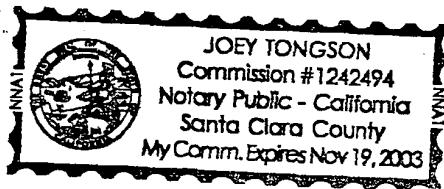
Date June 25, 2001

Stephen D. Pacetti
Stephen D. Pacetti

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On JUNE 25, 2001 before me, JOEY TONGSON, personally Stephen D. Pacetti, personally known to me ~~or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson
Notary Public

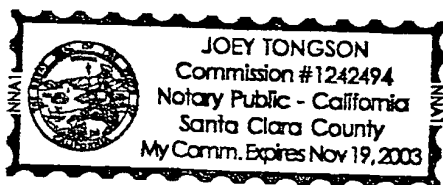
Date 6-25-01

Plaridel K. Villareal
Plaridel K. Villareal

STATE OF CALIFORNIA)
) ss.
COUNTY OF)

On JUNE 25, 2001 before me, JOEY TONGSON, personally Plaridel K. Villareal, ~~personally known to me or proved to me on the basis of satisfactory evidence~~ to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal



Joey Tongson
Notary Public